

Certificate of Registration

BUSINESS CONTINUITY MANAGEMENT SYSTEM - ISO 22301:2019

This is to certify that: Capital Securities
& Capital Futures Corporation
11F,
No. 156, Sec. 3, Minsheng E. Rd.
Songshan Dist.
Taipei City
105402
Taiwan

Holds Certificate No: **BCMS 537763**

and operates a Business Continuity Management System which complies with the requirements of ISO 22301:2019 for the following scope:

The business continuity management system in relation to the provision of stock trading, futures & options trading, derivatives trading, bonds trading, re-consigned trading, and registrar agency business.



For and on behalf of BSI:

Michael Lam - Managing Director Assurance, APAC

Original Registration Date: 2008-11-14

Latest Revision Date: 2023-10-30

Effective Date: 2023-11-26

Expiry Date: 2026-11-25

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Certificate No: **BCMS 537763**

Location

Registered Activities

Capital Securities
& Capital Futures Corporation
11F.
No. 156, Sec. 3, Minsheng E. Rd.
Songshan Dist.
Taipei City
105402
Taiwan

The business continuity management system in relation to the provision of stock trading, derivatives trading, bonds trading, and re-consigned trading business.

Capital Securities
& Capital Futures Corporation
No. 97, Sec. 2, Tun Hua S. Road
Daan District
Taipei City
106420
Taiwan

The business continuity management system in relation to the provision of futures & options trading and registrar agency business.



Original Registration Date: 2008-11-14

Effective Date: 2023-11-26

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This certificate was issued electronically and remains the property of BSI and is bound by the conditions of contract.
An electronic certificate can be authenticated [online](#).
Printed copies can be validated at www.bsi-global.com/ClientDirectory or telephone +886 (02)2656-0333.

Taiwan Headquarters: 2nd Floor, No.37, Ji-Hu Rd., Nei-Hu Dist., Taipei 114, Taiwan, R.O.C.
A Member of the BSI Group of Companies.