

Certificate of Registration

INFORMATION SECURITY MANAGEMENT SYSTEM - ISO/IEC 27001:2022

This is to certify that: Capital Futures Corp.
B1F. and B2F.
No. 97, Sec. 2, Dunhua S. Rd.
Da'an Dist.
Taipei City
106420
Taiwan

Holds Certificate No: **IS 802870**

and operates an Information Security Management System which complies with the requirements of ISO/IEC 27001:2022 for the following scope:

The provision of maintenance and project management of futures trading system (Tandem System) and related server room management and supporting information processing activities within Digital Growth Department.
This is in accordance with the Statement of Applicability, ISMS-2-02-F01, version 1 dated 10 January 2024.



For and on behalf of BSI:

Michael Lam - Managing Director Assurance, APAC

Original Registration Date: 2024-02-18

Effective Date: 2024-02-18

Latest Revision Date: 2024-02-18

Expiry Date: 2027-02-17

Page: 1 of 2



...making excellence a habit.™

Certificate No: **IS 802870**

Location

Capital Futures Corp.
B1F. and B2F.
No. 97, Sec. 2, Dunhua S. Rd.
Da'an Dist.
Taipei City
106420
Taiwan

Registered Activities

The provision of maintenance and project management of futures trading system (Tandem System) and related supporting information processing activities within Digital Growth Department.



Original Registration Date: 2024-02-18

Latest Revision Date: 2024-02-18

Effective Date: 2024-02-18

Expiry Date: 2027-02-17

Page: 2 of 2

This certificate relates to the information security management system, and not to the products or services of the certified organisation. The certificate reference number, the mark of the certification body and/or the accreditation mark may not be shown on products or stated in documents regarding products or services. Promotion material, advertisements or other documents showing or referring to this certificate, the trademark of the certification body, or the accreditation mark, must comply with the intention of the certificate. The certificate does not of itself confer immunity on the certified organisation from legal obligations.

This certificate was issued electronically and remains the property of BSI and is bound by the conditions of contract. An electronic certificate can be authenticated [online](#). Printed copies can be validated at www.bsi-global.com/ClientDirectory or telephone +886 (02)2656-0333.

Taiwan Headquarters: 2nd Floor, No.37, Ji-Hu Rd., Nei-Hu Dist., Taipei 114, Taiwan, R.O.C.
A Member of the BSI Group of Companies.